	Application No.	Applicant(s)
Notice of Allowability	09/668,585	ELLISON ET AL.
	Examiner	Art Unit
	Grigory Gurshman	2132
The MAILING DATE of this communication appears on the cover sheet with the corresponding address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.		
1. This communication is responsive to <u>amendment filed 9/02/2004</u> .		
2. The allowed claim(s) is/are <u>81-124</u> .		
3. The drawings filed on are accepted by the Examiner.		
<ul> <li>4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some* c) None of the: <ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No.</li> <li>Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> <li>* Certified copies not received:</li> </ul>		
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		
5. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.		
<ul> <li>6.  ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) ☐ hereto or 2) ☐ to Paper No./Mail Date</li> <li>(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date</li> <li>Identifying Indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).</li> </ul>		
7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.		
Attachm nt(s)  1. Notice of References Cited (PTO-892)	5 T Notice of Informal P	ratent Application (PTO-152)
Notice of Praftperson's Patent Drawing Review (PTO-948)	6. Interview Summary	(PTO-413),
3. Information Disclosure Statements (PTO-1449 or PTO/SB/0	Paper No./Mail Da 08), 7. ☐ Examiner's Amendr	te ment/Comment
Paper No./Mail Date4.  Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
of Biological Material	9. Other	
		·

Application/Control Number: 09/668,585 Page 2

Art Unit: 2132

### **DETAILED ACTION**

# **Drawings**

1. Applicant is hereby given **three month** from the mailing date of this letter or until the expiration of the period set in the "Notice of Allowance" (PTOL-85) or Notice of Allowability" (PTOL-37 or PTO-37), whichever is longer, to file corrected drawings. Extensions of time are not available under 37 CFR 1.136(a) or 1.136(b).

## Double Patenting

The double patenting rejection of claims 1-80 under 37 CFR 1.78(b) has been overcome by cancellation of the instant claims and the introduction of the new claims 81-124.

### Allowable Subject Matter

- 2. Claims 81-124 are allowed.
- 3. The following is an examiner's statement of reasons for allowance:
- 3.1. Referring to the instant claims, Greenstein (U.S. Patent No. 5.809.546) discloses a method for managing I/O (input /output) buffers in shared storage, including storage keys for controlling accesses to the buffers (see abstract and Fig. 1). Greenstein teaches protecting the storage within the computer system against unwanted CP (central processor) access. Greenstein also teaches the use of CP keys provided for protecting against unwanted accesses by any CP in the system. The I/O

Art Unit: 2132

keys must be supported by a hardware I/O storage array when only real (or absolute) addressing is used by I/O programs. However, the CP keys may be supported by either real CP keys in a second hardware key array; or alternatively the CP keys may be provided as virtual CP keys in a field in each page table entry (which is used for translating CP virtual addresses to CP real addresses) –see abstract and Figs. 1-6).

3.2 Referring to the independent claims 81 and 98, 11, Greenstein teaches the computer system (100 in Fig. 1), which has operation system and subsystems (104). While Greenstein shows the CPU (101), which is connected to the security devices (105) and 110) he does not, however, teach or suggest the limitation "a platform with a processor and a memory, configuring the processor to run in an isolated execution mode". Greenstein also teaches CPUSKs 309 (in Fig.3), which do not protect against storage alteration by I/O channel programs, but he does not teach configuring the processor to run in "a non-isolated execution mode". Greenstein teaches a storage controller (105 in Fig.1) connected with the storage protection array (110), but he does not teach the limitation "configuring the platform to establish an isolated memory area in the memory and a non-isolated memory area". The limitation "loading an operation system executive" is also not taught by Greenstein, although he discloses a system control program (113). Greenstein does not teach the use of isolated instructions. While Greenstein shows the CPU (101) and storage controller (105) having protection check unit (111 in Fig.1), he does not teach or suggest verifying the OS executive, using the processor executive.

Application/Control Number: 09/668,585

Art Unit: 2132

3.3. Referring to the instant claims, Branigin (U.S. Patent No. 4.419.724) discloses a main bus interface package (see abstract). Branigin teaches that Central Processors (CPU) is connected to an M BUS with the Main Storage Processors (MSP). As a typical operation, the CPU might wish to communicate with an MSU. The CPU would contend for priority on the M-BUS and when granted access might place on the M-BUS a word wherein byte 1 contains the destination ID. The function code in byte 0 of the word placed on the M-BUS might tell the MSP that it should retrieve data from main storage. Branigin teaches that verification is done by checking the CPU ID accessing a Storage Unit through the M-BUS (see column 18, lines 43-63). Branigin, however does not teach or suggest "verifying the OS executive, using the processor executive, and after verifying the OS executive, launching the OS executive, the launching of the OS executive performed by the processor executive".

Page 4

3.4 In view of reasons presented herein claims 81-124 are in condition for allowance.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Application/Control Number: 09/668,585

Art Unit: 2132

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Grigory Gurshman whose telephone number is (571)272-3803. The examiner can normally be reached on 9 AM-5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571)272-3799. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Grigory Gurshman Examiner Art Unit 2132

Page 5

SUPERVISORY PATENT EXAMINER **TECHNOLOGY CENTER 2100**